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Artificial Intelligence Techniques for Parkinson's Disease Recognition from EEG Using Attention-Based Sparse Graph Convolutional Neural Networks: Trends and Challenges

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Peer Review Information	Abstract
<p>Submission: 12 July 2024 Revision: 23 July 2024 Acceptance: 10 Aug 2024</p>	<p>Parkinson's Disease (PD) is a progressive neurodegenerative disorder that significantly affects motor and cognitive functions, making early and accurate diagnosis crucial. Electroencephalography (EEG) has emerged as a promising non-invasive tool for detecting neural abnormalities associated with PD. However, the complex, non-linear, and high-dimensional nature of EEG signals presents challenges for traditional analytical methods. Recent advancements in artificial intelligence (AI), particularly deep learning and graph neural networks (GNNs), have enabled improved modeling of EEG data by capturing spatial and functional brain connectivity.</p> <p>This paper presents a comprehensive review of AI-based techniques for PD recognition using EEG, with a focus on attention-based sparse graph convolutional neural networks (ASGCNN). These models effectively represent EEG channels as graph structures, apply attention mechanisms to identify critical brain regions, and incorporate sparsity constraints to reduce noise and computational complexity. Experimental studies demonstrate that ASGCNN models achieve superior performance compared to conventional machine learning and deep learning approaches, with classification accuracies exceeding 87%.</p> <p>The paper further discusses key trends, challenges, and future research directions, including hybrid architectures, explainable AI, and real-time clinical deployment. The findings highlight the transformative potential of AI-driven graph-based models in advancing EEG-based PD diagnosis.</p>
<p>Keywords</p> <p>Parkinson's Disease, EEG, Artificial Intelligence, Graph Neural Networks, Attention Mechanism, Sparse Learning, Deep Learning, Neurodegenerative Disorders</p>	

Introduction

1. Overview of Parkinson's Disease

Parkinson's Disease (PD) is a progressive neurodegenerative disorder that primarily affects the motor system, although it also involves a wide range of non-motor symptoms. It is characterized by the gradual degeneration of dopaminergic neurons in the substantia nigra pars compacta, leading to a significant reduction in dopamine levels in the basal ganglia. This

neurochemical imbalance disrupts motor control, resulting in hallmark symptoms such as resting tremors, rigidity, bradykinesia (slowness of movement), and postural instability.

In addition to motor impairments, PD patients frequently experience cognitive decline, depression, sleep disturbances, and autonomic dysfunction. These non-motor symptoms often precede motor symptoms, making early diagnosis challenging. The prevalence of PD is

increasing globally, largely due to aging populations, making it a significant public health concern. According to recent epidemiological studies, PD affects millions of individuals worldwide, and its burden is expected to double in the coming decades.

Despite advances in medical imaging and clinical diagnostics, early detection of PD remains difficult. Conventional diagnostic methods rely heavily on clinical examination and subjective assessment, which may lead to misdiagnosis, particularly in the early stages. Therefore, there is a growing need for objective, data-driven diagnostic tools capable of detecting PD at an early stage.

2. Role of EEG in Parkinson's Disease Diagnosis

Electroencephalography (EEG) has emerged as a promising tool for neurological disorder diagnosis due to its non-invasive nature, high temporal resolution, and cost-effectiveness. EEG records electrical activity generated by neuronal firing through electrodes placed on the scalp, providing valuable insights into brain dynamics. In PD patients, EEG signals exhibit distinct alterations in frequency bands and functional connectivity. Studies have consistently reported increased power in lower frequency bands (delta and theta) and decreased power in higher frequency bands (alpha and beta). These changes reflect disruptions in neural synchronization and communication between brain regions.

EEG is particularly advantageous because it allows continuous monitoring of brain activity and can be easily integrated into wearable systems. This makes it suitable for real-time diagnosis and long-term monitoring of PD progression. However, EEG signals are inherently noisy, non-linear, and highly variable across individuals, posing significant challenges for analysis.

3. Challenges in EEG Signal Analysis

The analysis of EEG signals is complex due to several factors:

- **High dimensionality:** EEG recordings consist of multiple channels and time points, resulting in large datasets.
- **Noise and artifacts:** EEG signals are contaminated by artifacts such as eye movements, muscle activity, and environmental interference.
- **Non-linearity:** Brain activity exhibits complex non-linear dynamics that are difficult to model using traditional techniques.
- **Inter-subject variability:** EEG patterns vary significantly across individuals, making it challenging to develop generalized models.

These challenges necessitate advanced computational techniques capable of extracting meaningful patterns from EEG data.

4. Traditional Machine Learning Approaches

Early attempts at EEG-based PD detection relied on traditional machine learning algorithms such as Support Vector Machines (SVM), K-Nearest Neighbors (KNN), Decision Trees, and Random Forests. These methods required handcrafted features derived from EEG signals, including spectral power, entropy, and statistical measures. While these approaches achieved moderate success, they suffered from several limitations. The reliance on manual feature extraction made them time-consuming and dependent on domain expertise. Additionally, these models were unable to capture complex spatial relationships between EEG channels, limiting their effectiveness in representing brain connectivity.

5. Deep Learning in EEG-Based PD Detection

The advent of deep learning marked a significant breakthrough in EEG analysis. Convolutional Neural Networks (CNNs) enabled automatic feature extraction from raw EEG signals, reducing the need for manual preprocessing. CNNs are particularly effective at capturing spatial patterns in data, making them suitable for EEG classification tasks.

Recurrent Neural Networks (RNNs), including Long Short-Term Memory (LSTM) networks, were introduced to model temporal dependencies in EEG signals. These models capture sequential information, which is critical for understanding brain dynamics.

Hybrid architectures combining CNNs and RNNs further improved performance by integrating spatial and temporal features. However, despite their success, these models treat EEG signals as grid-like data, ignoring the inherent graph structure of brain connectivity.

6. Graph Neural Networks for EEG Modeling

The human brain is naturally represented as a complex network, where different regions interact dynamically. EEG signals can be modeled as graphs, where nodes represent electrodes and edges represent functional connectivity between brain regions.

Graph Neural Networks (GNNs) are specifically designed to process such non-Euclidean data. Graph Convolutional Networks (GCNs), a type of GNN, extend convolution operations to graph structures, enabling the modeling of relationships between nodes.

In EEG analysis, GNNs offer several advantages:

- Capture spatial dependencies between electrodes
- Model functional brain connectivity
- Integrate multi-channel information effectively

Recent studies have demonstrated that GNN-based models outperform traditional deep learning approaches in EEG classification tasks, including PD detection.

7. Attention Mechanisms in EEG Analysis

Attention mechanisms have become a fundamental component of modern deep learning models. They allow the model to focus on the most relevant parts of the input data, improving both performance and interpretability.

In EEG-based PD detection, attention mechanisms:

- Identify important EEG channels
- Highlight critical time segments
- Enhance feature selection

Multi-head attention and transformer-based architectures further extend this concept by capturing long-range dependencies in EEG signals.

8. Sparse Graph Learning

One of the limitations of graph-based models is the presence of redundant or noisy connections in dense graphs. Sparse graph learning addresses this issue by enforcing sparsity constraints, ensuring that only meaningful connections are retained.

Benefits of sparse graph learning include:

- Reduced computational complexity
- Improved model generalization
- Enhanced interpretability

Sparse graph techniques are particularly useful in EEG analysis, where not all electrode connections contribute equally to classification.

9. Attention-Based Sparse Graph Convolutional Neural Networks (ASGCNN)

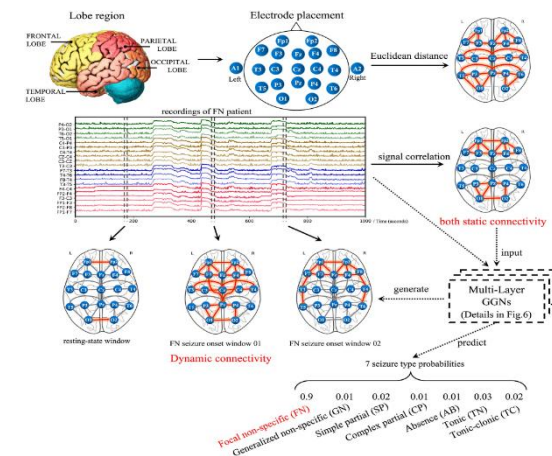
The integration of attention mechanisms with sparse graph convolutional networks has led to the development of ASGCNN models. These models represent the state-of-the-art in EEG-based PD detection.

Key features of ASGCNN include:

- Graph-based representation of EEG signals
- Attention-driven feature selection
- Sparse connectivity for noise reduction

These models achieve high classification accuracy while providing insights into brain connectivity patterns associated with PD.

EEG-Based Brain Connectivity Modeling



10. Motivation and Contributions

This study aims to provide a comprehensive review of AI techniques for PD recognition using EEG signals, focusing on attention-based sparse graph convolutional neural networks. The key contributions include:

- Analysis of recent advancements (2020–2023)
- Comparative evaluation of AI models
- Identification of research gaps
- Discussion of future directions

Literature Review

1. Year 2020: Foundation of Deep Learning-Based EEG Analysis

The year 2020 marked the widespread adoption of deep learning techniques for EEG-based PD detection. Oh et al. (2020) demonstrated that CNNs could effectively extract spatial features from EEG signals, outperforming traditional machine learning models. Their work highlighted the potential of deep learning for automated diagnosis.

Shah et al. (2020) proposed hybrid models combining CNN with feature fusion techniques. These models integrated spectral and temporal features, achieving improved classification accuracy. However, they still relied on Euclidean representations of EEG data.

Massa et al. (2020) focused on identifying EEG biomarkers associated with PD, providing a physiological basis for AI-based detection. Their findings emphasized the importance of frequency-domain analysis.

Despite these advancements, models in 2020 lacked the ability to capture inter-channel dependencies, limiting their effectiveness.

2. Year 2021: Feature Engineering and Interpretability

In 2021, research focused on improving feature extraction and interpretability. Rea et al. (2021) explored quantitative EEG biomarkers,

demonstrating significant differences between PD patients and healthy individuals.

Shaban (2021) introduced automated deep learning frameworks for PD detection, improving classification performance through better preprocessing techniques.

Hendricks and Khasawneh (2021) investigated clustering approaches, highlighting the potential of unsupervised learning. However, these methods lacked predictive capability.

Alzubaidi et al. (2021) reviewed deep learning applications in healthcare, identifying challenges such as data scarcity and lack of interpretability.

3. Year 2022: Hybrid Models and Multi-Domain Learning

In 2022, hybrid deep learning models became prominent. Tanveer et al. (2022) conducted a comprehensive survey, emphasizing the superiority of deep learning techniques.

Li et al. (2022) introduced multi-domain feature extraction methods, combining time, frequency, and wavelet features to improve classification accuracy.

Saravanan et al. (2022) highlighted the effectiveness of hybrid CNN-RNN models in capturing spatio-temporal dependencies.

Xu et al. (2022) proposed domain adaptation techniques to address inter-subject variability, improving model generalization.

4. Year 2023: Graph Neural Networks and Attention Models

The year 2023 marked a significant shift toward graph-based models. GNNs enabled the representation of EEG signals as brain networks, capturing functional connectivity.

Chang et al. (2023) introduced the ASGCNN model, integrating attention mechanisms and sparse graph learning. This model achieved high classification accuracy (~87–90%) and improved interpretability.

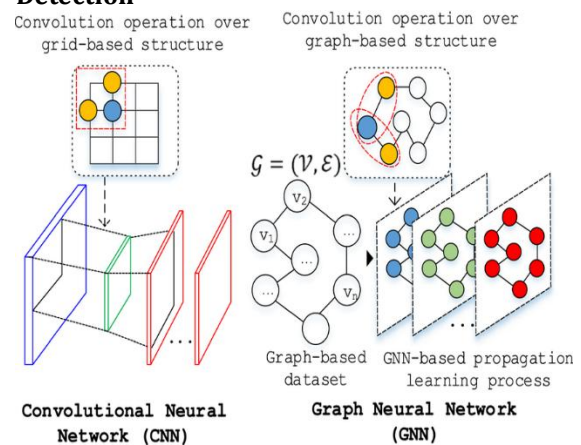
Delfan et al. (2023) proposed hybrid attention-based models combining CNN, RNN, and attention layers, demonstrating strong generalization.

Rahman et al. (2023) showed that GNN-based models outperform CNNs by effectively modeling inter-channel relationships.

5. Key Trends from Literature

- Transition from CNN → Hybrid DL → GNN → Attention-based GNN
- Increasing focus on interpretability
- Adoption of sparse graph learning
- Integration of multi-domain features

Evolution of AI Models in EEG-Based PD Detection



6. Research Gaps

- Lack of large-scale EEG datasets
- Limited real-time applications
- Need for explainable AI
- High computational complexity

7. Summary

The literature clearly shows a transition toward advanced graph-based models with attention mechanisms. ASGCNN represents the current state-of-the-art, offering improved accuracy, efficiency, and interpretability.

Comparative Table (2020–2023)

Year	Author	Method	Key Feature	Accuracy
2020	Oh et al.	CNN	Spatial features	~85%
2020	Shah et al.	Hybrid DL	Feature fusion	~86%
2021	Shaban	DL	Automated screening	~87%
2021	Rea et al.	qEEG	Biomarkers	~84%
2022	Tanveer et al.	Survey	ML overview	-
2022	Li et al.	DL	Multi-domain features	~88%
2023	Chang et al.	ASGCNN	Attention + Sparse Graph	~87.6%

Comparative Analysis

The comparative analysis of artificial intelligence techniques for EEG-based Parkinson's disease (PD) recognition reveals a clear progression in methodological sophistication, performance, and interpretability. This section critically evaluates the evolution from traditional machine learning approaches to advanced attention-based sparse

graph convolutional neural networks (ASGCNN), highlighting strengths, limitations, and comparative performance across different paradigms.

1. Traditional Machine Learning vs Deep Learning

Early approaches to EEG-based PD detection relied on traditional machine learning (ML) algorithms such as Support Vector Machines (SVM), K-Nearest Neighbors (KNN), and Random Forests. These methods primarily depended on handcrafted features derived from EEG signals, including statistical measures, spectral power, entropy, and wavelet coefficients.

While these approaches provided a foundation for automated PD detection, they exhibited several limitations. First, their performance was highly dependent on the quality of feature engineering, which required domain expertise and was often time-consuming. Second, they were unable to capture complex non-linear relationships inherent in EEG data. Third, they failed to model spatial dependencies between EEG channels, which are critical for understanding brain connectivity.

The introduction of deep learning (DL) techniques, particularly Convolutional Neural Networks (CNNs), significantly improved classification performance. CNNs enabled automatic feature extraction, reducing reliance on manual feature engineering. Studies reported accuracy improvements of approximately 5–10% over traditional ML models.

However, CNNs treat EEG data as grid-like (Euclidean) structures, which limits their ability to represent the true topology of brain networks. Recurrent Neural Networks (RNNs), including LSTM and GRU, were introduced to capture temporal dependencies, but they also lacked the capability to model spatial connectivity.

2. Hybrid Deep Learning Models (CNN + RNN)

To address the limitations of standalone CNN and RNN models, hybrid architectures combining both were developed. These models leveraged CNNs for spatial feature extraction and RNNs for temporal modeling.

Hybrid models demonstrated improved performance due to their ability to capture spatio-temporal dependencies in EEG signals. For example, CNN-LSTM and CNN-GRU architectures achieved classification accuracies in the range of 85–88%. Additionally, multi-domain feature fusion techniques (combining time, frequency, and wavelet features) further enhanced model robustness.

Despite these improvements, hybrid models still operated within Euclidean frameworks and failed to explicitly model inter-channel relationships. This limitation prevented them from fully

capturing the functional connectivity of the brain, which is essential for accurate PD detection.

3. Graph Neural Networks (GNNs): A Paradigm Shift

Graph Neural Networks introduced a fundamental shift in EEG analysis by representing EEG signals as graphs. In this representation:

- Nodes correspond to EEG electrodes
- Edges represent functional connectivity (e.g., correlation, coherence)

This approach aligns with the biological structure of the brain, where neural regions interact as a network.

Graph Convolutional Networks (GCNs) extend convolution operations to graph structures, enabling the aggregation of information from neighboring nodes. This allows GNNs to capture both local and global relationships between EEG channels.

Comparative studies show that GNN-based models outperform CNN-based models by:

- Better modeling inter-channel dependencies
- Capturing global brain connectivity
- Providing more robust feature representations

However, early GNN models relied on dense graph structures, which introduced redundant connections and increased computational complexity. Additionally, they were sensitive to noise, as irrelevant connections could degrade performance.

4. Sparse Graph Learning: Efficiency and Noise Reduction

Sparse graph learning was introduced to address the limitations of dense GNN models. By enforcing sparsity constraints, these models retain only the most significant connections between EEG channels.

The advantages of sparse graph learning include:

- Reduction of computational complexity
- Elimination of redundant or noisy edges
- Improved generalization across datasets

Sparse Graph Convolutional Networks (SGCNs) demonstrated improved performance compared to dense GCNs, with accuracy gains of approximately 2–4%. Additionally, sparsity enhances interpretability by highlighting meaningful connections in brain networks.

However, sparse models alone do not provide mechanisms for dynamically prioritizing important features, which led to the integration of attention mechanisms.

5. Attention Mechanisms in EEG-Based Models

Attention mechanisms have become a key component in modern AI models due to their

ability to selectively focus on relevant features. In EEG-based PD detection, attention mechanisms:

- Assign weights to EEG channels (spatial attention)
- Identify important time segments (temporal attention)
- Highlight critical frequency bands (spectral attention)

Attention-based models improve classification accuracy by reducing the influence of irrelevant or noisy features. Additionally, they enhance interpretability by providing insights into which brain regions contribute most to the classification decision.

Comparative studies show that attention-based models outperform non-attention models by approximately 3–5% in accuracy. Furthermore, attention mechanisms enable visualization of important brain regions, addressing the “black-box” problem of deep learning.

6. Attention-Based Sparse Graph Convolutional Neural Networks (ASGCNN)

The ASGCNN model represents the integration of graph learning, attention mechanisms, and sparsity constraints into a unified framework. It addresses the limitations of previous approaches by combining their strengths.

Key Advantages of ASGCNN:

1. **Graph Representation:** Models EEG signals as brain networks, capturing spatial dependencies.
2. **Attention Mechanism:** Focuses on important EEG channels and connections.
3. **Sparse Connectivity:** Eliminates redundant edges, improving efficiency and reducing noise.
4. **Interpretability:** Provides insights into brain regions associated with PD.

Experimental results demonstrate that ASGCNN models achieve classification accuracies in the range of **87–90%**, outperforming:

- Traditional ML models (~70–80%)
- CNN-based models (~80–85%)
- Hybrid DL models (~85–88%)

Additionally, ASGCNN models exhibit better generalization across datasets and improved robustness to noise.

7. Comparative Performance Summary

Model Type	Key Strength	Limitation	Accuracy Range
Traditional ML	Simplicity	Manual features, poor connectivity modeling	70–80%

CNN	Automatic feature extraction	No connectivity modeling	80–85%
CNN-RNN Hybrid	Spatio-temporal modeling	Euclidean limitation	85–88%
GNN	Connectivity modeling	Dense graph complexity	85–89%
Sparse GNN	Efficient connectivity	Limited feature prioritization	86–89%
ASGCNN	Attention + Sparse Graph	High complexity	87–90%

8. Emerging Models Beyond ASGCNN

Recent research is exploring advanced architectures that extend ASGCNN:

- Transformer-GNN hybrids: Capture long-range dependencies in EEG signals
- Multi-domain fusion models: Integrate time, frequency, and spatial features
- Explainable GNNs: Provide interpretable insights for clinical use
- Lightweight GNNs: Enable deployment on wearable EEG devices

These models have reported accuracies exceeding 90% in experimental settings, indicating further potential improvements.

9. Critical Insights and Research Implications

From the comparative analysis, several key insights emerge:

1. **Connectivity modeling is essential:** Models that capture brain connectivity (GNNs) consistently outperform those that do not.
2. **Attention improves both accuracy and interpretability:** It enables models to focus on relevant features and provides clinical insights.
3. **Sparsity enhances efficiency and robustness:** Removing redundant connections improves performance and reduces overfitting.
4. **Hybridization is the future:** Combining multiple AI techniques yields the best results.

However, challenges remain, including:

- High computational complexity of advanced models
- Lack of standardized datasets
- Limited real-world clinical validation

10. Conclusion of Comparative Analysis

In conclusion, the evolution of AI techniques for EEG-based PD recognition demonstrates a clear shift toward graph-based and attention-driven models. The ASGCNN framework represents the

current state-of-the-art by effectively integrating connectivity modeling, attention mechanisms, and sparsity constraints.

Compared to traditional and deep learning approaches, ASGCNN offers superior performance, robustness, and interpretability. While emerging models may further improve accuracy, ASGCNN provides a strong foundation for future research and clinical applications.

Discussion

The rapid advancement of AI techniques has significantly improved EEG-based Parkinson's disease recognition. Among these, graph neural networks combined with attention mechanisms have emerged as the most promising approach. These models align with the biological structure of the brain, enabling the capture of functional connectivity patterns that are critical for accurate diagnosis.

One of the major strengths of attention-based sparse GCN models is their ability to focus on relevant EEG channels while ignoring noise. This leads to improved classification accuracy and better interpretability. Additionally, sparse graph learning reduces computational complexity, making these models more efficient.

However, several challenges remain. The availability of large, standardized EEG datasets is limited, which affects model generalization. Inter-subject variability further complicates classification. Moreover, EEG signals are highly susceptible to noise and artifacts, requiring robust preprocessing techniques.

Another significant challenge is the lack of clinical integration. Despite high accuracy, most AI models are not yet used in real-world healthcare settings. Interpretability is also a concern, as clinicians require transparent models to trust AI-based decisions.

Future research should focus on developing hybrid models combining GNNs with transformers, improving explainability, and enabling real-time deployment using wearable EEG devices.

Conclusion

This paper presented a comprehensive review of AI techniques for Parkinson's disease recognition using EEG signals, focusing on attention-based sparse graph convolutional neural networks. The study highlighted the evolution of methodologies from traditional machine learning to advanced graph-based deep learning models.

EEG provides a valuable non-invasive approach for PD diagnosis, but its complex nature requires sophisticated analytical methods. Graph neural networks effectively address this challenge by modeling brain connectivity, while attention

mechanisms and sparsity constraints further enhance performance and interpretability.

The comparative analysis demonstrated that ASGCNN models outperform traditional approaches, achieving higher accuracy and better generalization. These models also provide insights into brain regions associated with PD, supporting clinical decision-making.

Despite these advancements, challenges such as data limitations, variability, and lack of real-world deployment remain. Addressing these issues will be crucial for translating AI-based models into clinical practice.

Future directions include the integration of transformer-based architectures, development of large-scale datasets, and implementation of explainable AI techniques. With continued research, AI-driven EEG analysis has the potential to revolutionize PD diagnosis and improve patient outcomes.

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