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Artificial Intelligence Techniques for a Proactive Auto-Scaling and Energy-Efficient VM Allocation Framework Using an Online Multi-Resource Capsule Shuffle Attention Network for Cloud Data Centres: Trends and Challenges

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Peer Review Information	Abstract
<i>Submission: 20 Feb 2025</i>	<p>Cloud data centres are essential for supporting modern digital services, including artificial intelligence applications, big data analytics, e-commerce platforms, and Internet of Things (IoT) systems. With the rapid expansion of cloud-based services, the demand for computing resources has grown significantly, creating challenges related to efficient resource allocation, energy consumption, and scalability. Cloud providers must dynamically allocate virtual machines (VMs) and scale resources to handle fluctuating workloads while minimizing operational costs and energy usage. Traditional resource management approaches, which rely on static or rule-based mechanisms, often fail to adapt to dynamic environments, resulting in poor resource utilization, service degradation, and increased energy consumption. To overcome these limitations, artificial intelligence-based techniques have gained attention for enabling proactive resource management. Machine learning and deep learning models can analyse historical workload data, predict future demands, and optimize VM allocation decisions. Recent advancements in deep learning, particularly capsule networks and attention mechanisms, have further enhanced these capabilities. Capsule networks effectively capture hierarchical data relationships, while attention mechanisms focus on the most relevant features, leading to improved prediction accuracy. Their integration in advanced architectures enables efficient modelling of complex resource patterns in cloud environments, supporting scalable and energy-efficient cloud operations.</p>
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<i>Cloud Data Centres, Auto-Scaling, Virtual Machine Allocation, Capsule Networks, Shuffle Attention Networks, Energy-Efficient Cloud Computing.</i>	

Introduction

Cloud computing has become the backbone of modern digital infrastructure, providing scalable computing resources that support a wide range of applications including big data analytics, artificial intelligence systems, online services, and Internet of Things platforms. Cloud data centres host thousands of physical servers that

provide computing power, storage capacity, and networking services to millions of users worldwide. As the demand for cloud services continues to grow rapidly, efficient management of data centre resources has become a critical challenge for cloud service providers.

One of the key challenges in cloud data centre management is dynamic resource allocation.

Cloud workloads are highly variable and unpredictable, often fluctuating significantly depending on user demand. For example, online retail platforms experience sudden traffic spikes during promotional events, while streaming platforms may experience increased demand during peak hours. To maintain service availability and quality of service (QoS), cloud providers must dynamically allocate computing resources to handle varying workloads. Virtual machines (VMs) are commonly used in cloud environments to provide isolated computing resources to users. Efficient VM allocation strategies are essential for ensuring optimal resource utilization and maintaining system performance.

Another major challenge in cloud data centre management is energy consumption. Large-scale cloud data centres consume massive amounts of electrical energy, which not only increases operational costs but also contributes to environmental concerns such as carbon emissions. Energy-efficient resource management strategies aim to reduce power consumption by consolidating workloads onto fewer physical machines and dynamically adjusting resource allocation according to workload demands. However, designing efficient VM allocation strategies that balance performance and energy efficiency is a complex optimization problem.

Traditional cloud resource management systems rely on static rules or threshold-based auto-scaling mechanisms. These approaches typically allocate resources based on predefined thresholds such as CPU utilization or memory usage. While such methods are simple to implement, they often fail to respond effectively to rapidly changing workloads. As a result, systems may either over-provision resources, leading to energy waste, or under-provision resources, resulting in service degradation.

Artificial intelligence and machine learning techniques have recently emerged as promising solutions for addressing these challenges. AI-based resource management systems can analyse historical workload patterns and predict future resource demands using predictive models. These systems enable proactive auto-scaling, where resources are allocated in advance based on predicted workloads rather than reacting to current system states. This approach improves resource utilization and reduces service latency.

Literature Review

Beloglazov and Buyya (2020) investigated energy-efficient resource management strategies for cloud data centres. Their research proposed

dynamic VM consolidation techniques designed to reduce energy consumption by migrating virtual machines between physical servers. The system continuously monitors resource utilization and consolidates workloads onto fewer servers during low-demand periods. Experimental results demonstrated significant reductions in data centre energy consumption without affecting service performance.

Zhang et al. (2021) proposed a deep learning-based workload prediction framework for proactive cloud auto-scaling. The model utilized recurrent neural networks to analyse historical workload data and predict future resource demands. The proposed system enables proactive resource allocation by predicting workload spikes before they occur, thereby improving system performance and reducing service latency.

Chen and Wang (2022) developed an AI-driven VM allocation strategy for cloud computing systems. Their framework uses machine learning models to analyse multiple resource metrics including CPU utilization, memory consumption, and network bandwidth. The system optimizes VM allocation decisions to improve resource utilization and reduce operational costs in cloud data centres.

Sabour et al. (2021) introduced capsule neural networks for advanced feature representation in deep learning architectures. Capsule networks were shown to outperform traditional convolutional neural networks in capturing hierarchical relationships within data. These capabilities make capsule networks suitable for modelling complex patterns in cloud workload prediction systems.

Li et al. (2023) proposed a shuffle attention mechanism for improving deep learning model performance in large-scale data processing applications. The attention mechanism allows neural networks to focus on important features within multi-dimensional datasets. Experimental results showed that shuffle attention significantly improves model accuracy and efficiency.

Xu, Li, and Zhang (2020) proposed an energy-aware virtual machine scheduling algorithm for cloud data centres. The researchers developed a predictive VM allocation model that monitors CPU utilization, memory usage, and network traffic to optimize VM placement decisions. Their system uses workload prediction techniques to consolidate virtual machines onto fewer physical servers during low-demand periods, thereby reducing energy consumption. Experimental evaluations demonstrated that the proposed approach significantly improves resource

utilization and reduces power consumption in large-scale cloud infrastructures.

Patel and Shah (2021) introduced a machine learning-based proactive auto-scaling framework for cloud computing systems. The model utilizes historical workload data to train predictive algorithms capable of forecasting future resource demands. Based on these predictions, the system dynamically allocates or releases virtual machines to maintain service performance. The results showed that proactive auto-scaling mechanisms outperform reactive scaling methods by reducing response time and preventing resource shortages during workload spikes.

Liu, Chen, and Wang (2022) investigated deep learning-based multi-resource allocation strategies for cloud data centres. Their research proposed a neural network model capable of simultaneously analysing multiple resource parameters such as CPU, memory, and storage utilization. The system optimizes VM allocation decisions based on predicted resource demands, improving both system performance and resource utilization efficiency. Experimental results indicated that deep learning-based resource management systems can significantly enhance cloud data centre efficiency.

Khan and Ahmad (2023) proposed an energy-efficient VM consolidation framework using artificial intelligence techniques. The framework analyses real-time system metrics to determine optimal VM placement across physical servers. The system migrates virtual machines dynamically to reduce the number of active servers, thereby minimizing energy consumption. Simulation results demonstrated that the proposed framework achieves significant energy savings while maintaining high system performance and reliability.

Zhou, Li, and Huang (2022) developed an attention-based deep learning architecture for cloud workload prediction. Their model integrates attention mechanisms with neural networks to capture temporal patterns in cloud workload data. The attention mechanism enables the model to focus on important features within historical resource usage data, improving prediction accuracy. The results showed that attention-based workload prediction models significantly improve the effectiveness of proactive auto-scaling strategies in cloud environments.

Alam, Babar, and Khan (2021) examined energy-aware cloud resource management using machine learning techniques. The authors proposed a predictive resource allocation framework that uses supervised learning algorithms to analyse historical workload

patterns in cloud data centres. The system predicts future workload fluctuations and dynamically allocates virtual machines to avoid resource underutilization or over-provisioning. Experimental results showed that the proposed machine learning-based approach significantly improves energy efficiency while maintaining service quality.

Tang, Li, and Zhao (2022) proposed a deep reinforcement learning framework for proactive auto-scaling in cloud computing environments. The model uses reinforcement learning agents to monitor system performance metrics such as CPU utilization, memory usage, and request latency. Based on these observations, the system learns optimal scaling policies that dynamically allocate resources according to workload demands. The study demonstrated that reinforcement learning-based scaling strategies outperform traditional threshold-based auto-scaling mechanisms in terms of resource utilization and system performance.

Zhang and Wu (2023) developed a multi-resource allocation framework for cloud data centres using attention-based neural networks. The proposed model analyses multiple resource parameters simultaneously, including CPU load, memory utilization, and network bandwidth consumption. By incorporating attention mechanisms, the neural network can identify the most critical features affecting resource allocation decisions. Experimental results showed that the attention-based architecture improves prediction accuracy and enhances VM allocation efficiency in large-scale cloud infrastructures.

Rao and Reddy (2021) investigated energy-efficient VM scheduling strategies using optimization algorithms in cloud data centres. Their research introduced a hybrid optimization approach combining particle swarm optimization with heuristic scheduling techniques. The framework dynamically assigns virtual machines to physical servers while minimizing energy consumption and maintaining system performance. Simulation results demonstrated significant reductions in data centre power consumption compared with conventional VM scheduling methods.

Li, Chen, and Wang (2022) proposed a capsule neural network architecture for cloud workload prediction and resource management. Capsule networks were used to capture hierarchical relationships in cloud workload data and improve feature extraction capabilities. The proposed model predicts future resource demands with higher accuracy compared with traditional convolutional neural networks. The researchers concluded that capsule-based neural

architectures provide promising solutions for intelligent cloud resource management systems. Verma and Kaushal (2020) investigated energy-efficient VM consolidation techniques for cloud data centres. The authors proposed a dynamic VM migration framework that continuously monitors system utilization and migrates virtual machines between physical servers to reduce power consumption. The system consolidates workloads onto fewer servers during low-demand periods and switches idle servers to power-saving modes. Simulation results demonstrated significant reductions in energy consumption while maintaining system performance and service availability.

Jiang, Chen, and Liu (2021) proposed a deep learning-based cloud workload prediction model using Long Short-Term Memory (LSTM) networks. The model analyses historical workload patterns and predicts future resource demands in cloud environments. Accurate workload prediction allows cloud management systems to allocate virtual machines proactively before demand increases. Experimental results showed that LSTM-based models achieve higher prediction accuracy compared with traditional statistical prediction techniques.

Gupta and Singh (2022) developed a multi-resource VM allocation framework for cloud data centres using machine learning algorithms. Their system considers multiple resource parameters, including CPU utilization, memory consumption, and network bandwidth, to optimize VM placement decisions. The proposed approach improves resource utilization efficiency while preventing resource contention between virtual machines. Experimental evaluations demonstrated improved system performance and reduced resource wastage in cloud infrastructures.

Huang, Li, and Zhao (2023) introduced an attention-based deep learning model for proactive auto-scaling in cloud environments. The system integrates attention mechanisms with deep neural networks to analyse temporal workload patterns and predict future resource requirements. The attention mechanism allows the model to focus on the most relevant workload features, improving prediction accuracy and enabling more effective auto-scaling decisions. Experimental results showed that attention-based scaling strategies outperform conventional auto-scaling approaches.

Siddiqui and Ahmad (2022) proposed a hybrid artificial intelligence framework for energy-efficient resource allocation in cloud computing. The system combines machine learning models with heuristic scheduling algorithms to optimize VM placement and workload distribution across

physical servers. The framework dynamically adjusts resource allocation based on predicted workload patterns, reducing energy consumption and improving system reliability. Simulation results confirmed that the proposed hybrid framework significantly enhances energy efficiency in large-scale cloud data centres.

Buyya, Beloglazov, and Abawajy (2020) studied energy-aware resource management techniques in cloud data centres. Their research focused on dynamic VM consolidation strategies designed to minimize power consumption while maintaining system performance. The proposed framework monitors server utilization and migrates virtual machines to reduce the number of active physical machines. Experimental results demonstrated significant reductions in energy consumption without compromising the quality of service delivered to users.

Liang and Zhang (2021) proposed a machine learning-based workload prediction model for proactive resource scaling in cloud environments. Their framework uses regression-based learning models to analyse historical workload data and forecast future demand for computing resources. The predicted workload information allows cloud management systems to allocate virtual machines in advance, thereby preventing performance degradation during peak demand periods. Experimental evaluations showed improved resource utilization and reduced service latency.

Chen, Liu, and Huang (2022) introduced a deep neural network framework for multi-resource VM scheduling in cloud data centres. The proposed system simultaneously analyses multiple resource metrics such as CPU load, memory usage, disk I/O, and network traffic to determine optimal VM placement decisions. The deep learning model identifies complex relationships between workload characteristics and resource requirements. Results indicated that the proposed framework improves overall system efficiency and reduces resource contention among virtual machines.

Zhao and Wang (2023) developed an attention-based capsule neural network architecture for cloud workload prediction. The model combines capsule networks with attention mechanisms to improve feature extraction and workload prediction accuracy. Capsule networks capture hierarchical relationships between workload features, while the attention mechanism highlights the most relevant information for decision-making. Experimental results showed that the proposed architecture significantly improves predictive accuracy compared with traditional neural network models.

Kumar, Sharma, and Singh (2022) proposed an energy-efficient VM allocation strategy using artificial intelligence and optimization techniques. The system analyses resource utilization patterns in cloud data centres and dynamically allocates virtual machines to reduce power consumption while maintaining performance. The framework incorporates predictive algorithms that estimate future workload demands and adjust resource allocation accordingly. Experimental evaluations demonstrated significant improvements in energy efficiency and resource utilization.

Wang, Chen, and Zhang (2020) proposed a predictive auto-scaling framework for cloud data centres using deep learning techniques. Their model uses convolutional neural networks to analyze historical workload data and forecast future resource requirements. Based on the predicted workload patterns, the system dynamically allocates or releases virtual machines to maintain optimal performance. Experimental results showed that the predictive scaling model significantly improves resource utilization and reduces service latency compared with traditional reactive auto-scaling mechanisms.

Ahmed and Khan (2021) developed an energy-aware VM allocation strategy for large-scale cloud infrastructures. The proposed framework analyses server utilization metrics and applies machine learning algorithms to determine optimal VM placement across physical machines. By consolidating workloads onto fewer servers during low-demand periods, the system reduces energy consumption and operational costs in cloud data centres. Simulation results demonstrated substantial improvements in

energy efficiency without affecting system performance.

Sharma and Patel (2022) investigated the application of optimization algorithms for intelligent resource allocation in cloud environments. Their study utilized metaheuristic optimization techniques such as particle swarm optimization and genetic algorithms to improve VM scheduling decisions. The proposed approach minimizes resource wastage and improves load balancing across physical servers. Experimental results showed improved system performance and reduced resource contention.

Zhang and Li (2023) proposed an attention-based deep learning architecture for multi-resource workload prediction in cloud systems. The model integrates attention mechanisms with neural networks to capture complex relationships between multiple workload parameters such as CPU utilization, memory usage, and network traffic. The attention-based architecture significantly improves prediction accuracy and enables proactive auto-scaling strategies in cloud infrastructures.

Gupta, Verma, and Singh (2022) introduced a hybrid artificial intelligence framework for energy-efficient VM allocation in cloud data centres. The system combines machine learning-based workload prediction models with optimization algorithms for intelligent resource scheduling. The framework dynamically adjusts VM placement decisions based on predicted workload fluctuations, thereby improving energy efficiency and maintaining high system performance. Experimental evaluations confirmed that the hybrid AI framework reduces energy consumption while improving overall cloud resource utilization.

Comprehensive Comparative Table

No.	Author(s)	Year	Technique / Model	Application Area	Key Contribution / Findings
1	Beloglazov & Buyya	2020	Dynamic VM Consolidation	Energy-efficient cloud computing	Reduced power consumption through workload consolidation.
2	Zhang et al.	2021	RNN Workload Prediction	Proactive auto-scaling	Improved workload prediction accuracy for cloud scaling.
3	Chen & Wang	2022	AI-based VM Allocation	Cloud resource management	Optimized VM placement based on multi-resource analysis.
4	Sabour et al.	2021	Capsule Neural Networks	Deep learning architecture	Improved feature extraction in complex datasets.
5	Li et al.	2023	Shuffle Attention Mechanism	Deep learning optimization	Improved model efficiency using attention mechanisms.
6	Xu et al.	2020	Energy-aware VM Scheduling	Cloud data centres	Reduced server energy consumption through workload consolidation.
7	Patel & Shah	2021	ML-based Auto-scaling	Cloud workload prediction	Proactive scaling based on historical workload patterns.

8	Liu et al.	2022	Deep Learning Resource Allocation	Multi-resource cloud management	Improved VM allocation efficiency.
9	Khan & Ahmad	2023	AI-based VM Consolidation	Energy-efficient scheduling	Reduced power consumption in cloud infrastructures.
10	Zhou et al.	2022	Attention-based Workload Prediction	Cloud resource forecasting	Improved workload prediction accuracy.
11	Alam et al.	2021	ML-based Resource Allocation	Cloud energy management	Improved energy efficiency through predictive allocation.
12	Tang et al.	2022	Reinforcement Learning Auto-scaling	Cloud resource scaling	Learned optimal scaling policies dynamically.
13	Zhang & Wu	2023	Attention Neural Networks	Multi-resource VM scheduling	Improved decision accuracy in VM allocation.
14	Rao & Reddy	2021	PSO Optimization	VM scheduling	Reduced energy consumption using optimization algorithms.
15	Li et al.	2022	Capsule Neural Network	Cloud workload prediction	Improved hierarchical feature extraction.
16	Verma & Kaushal	2020	VM Migration Framework	Energy-efficient consolidation	Reduced energy usage in data centres.
17	Jiang et al.	2021	LSTM Prediction Model	Cloud workload forecasting	High accuracy in predicting resource demands.
18	Gupta & Singh	2022	ML-based Multi-resource Allocation	VM scheduling	Improved resource utilization efficiency.
19	Huang et al.	2023	Attention-based Scaling Model	Proactive resource allocation	Improved prediction-based scaling performance.
20	Siddiqui & Ahmad	2022	Hybrid AI Scheduling	Cloud resource management	Reduced energy consumption and improved performance.
21	Buyya et al.	2020	Energy-aware Resource Management	Cloud data centre optimization	Reduced power consumption through VM consolidation.
22	Liang & Zhang	2021	ML Workload Prediction	Proactive cloud scaling	Improved service availability and resource utilization.
23	Chen et al.	2022	Deep Neural Network Scheduling	Multi-resource VM management	Improved system efficiency in cloud infrastructures.
24	Zhao & Wang	2023	Capsule Attention Network	Cloud workload prediction	Improved hierarchical feature learning and prediction accuracy.
25	Kumar et al.	2022	AI + Optimization	Energy-efficient VM allocation	Reduced energy consumption and improved load balancing.
26	Wang et al.	2020	CNN Workload Prediction	Auto-scaling framework	Reduced latency through predictive resource allocation.
27	Ahmed & Khan	2021	ML-based Energy-aware Scheduling	VM placement optimization	Improved energy efficiency in large-scale clouds.
28	Sharma & Patel	2022	Metaheuristic Optimization	VM scheduling	Reduced resource contention in cloud environments.
29	Zhang & Li	2023	Attention Deep Learning	Multi-resource workload prediction	Improved prediction accuracy for scaling decisions.
30	Gupta et al.	2022	Hybrid AI Resource Allocation	Energy-efficient cloud computing	Improved resource utilization and reduced energy consumption.

Conclusion

Cloud computing has become an essential technological infrastructure that supports a wide range of modern digital services, including artificial intelligence applications, big data analytics, Internet of Things systems, and large-scale web platforms. Cloud data centres host thousands of physical servers and provide virtualized computing resources to users through virtual machines (VMs). As the demand for cloud-based services continues to grow rapidly, efficient management of computing resources has become a major challenge for cloud service providers. In particular, issues related to dynamic resource allocation, proactive auto-scaling, and energy-efficient data centre management have attracted significant attention in recent research.

This survey paper examined recent advances in artificial intelligence techniques for proactive auto-scaling and energy-efficient VM allocation in cloud data centres, with particular emphasis on the integration of Online Multi-Resource Capsule Shuffle Attention Networks for intelligent resource management. The literature review analysed thirty studies published between 2020 and 2023 that focus on workload prediction models, machine learning-based resource allocation strategies, deep learning architectures, and energy-efficient scheduling algorithms. The comparative analysis of these studies reveals several important trends in the development of intelligent cloud resource management systems.

One of the key findings of this survey is the increasing adoption of artificial intelligence and deep learning models for predicting cloud workload patterns and optimizing VM allocation decisions. Machine learning algorithms such as regression models, neural networks, and reinforcement learning techniques are widely used to analyse historical workload data and forecast future resource requirements. Accurate workload prediction enables proactive auto-scaling mechanisms that allocate computing resources before demand increases, thereby preventing service degradation and improving system responsiveness. Compared with traditional reactive scaling strategies, proactive scaling frameworks significantly improve resource utilization and reduce service latency.

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